

Financial Services Guide for Planet Wealth Pty Limited ABN 44 116 459 397

ASIC authorised representative number 300583

Introduction

As a requirement of the Financial Services Reform Act (FSRA), we are obliged to provide you with a Financial Services Guide (FSG).

This FSG is provided by Planet Wealth Pty Ltd in its capacity as an authorised representative of Falconer Bellomo & Co Ltd, ABN 69 006 970 93 holder of Australian Financial Services Licence No. **244315**.

Falconer Bellomo & Co Ltd has authorised Planet Wealth to provide ***“general financial product advice, arrange for a person to deal in a financial product, and issue, apply for, acquire, vary or dispose of a financial product on behalf of another”***.

The purpose of providing this FSG is to ensure you are given sufficient information to make informed decisions regarding whether to obtain financial services from Planet Wealth. It includes details of how we are remunerated and what your options are should you ever have a complaint about our services.

The matters covered by the FSG include:

- Who we are?
- How we can be contacted?
- What services we are authorised to provide to you?
- How we are remunerated?
- Details in relation to any potential conflicts of interest.
- Details in relation to our internal & external dispute resolution procedures along with how you can access them.

Who is PLANET WEALTH?

We are a financial services firm servicing retail and professional clients across Australia. We specialise in the creation of an independent investment website designed for both Investors and Traders

This website is being introduced by Andrew Dimitri (Authorised Representative No. 302954) and Scott Robertson (Authorised Representative No. 245170), who are accredited by ASIC under PS146 to provide General Advice in Managed Investments, Securities and Derivatives, and a small team of highly respected, private analysts now involved with Planet Wealth.

Our goal will be to incorporate a range of what we believe to be the best, most appropriate technical studies to identify share market opportunities suitable for all serious market participants of independent, decision making spirit.

How can we be contacted?

Planet Wealth Pty Ltd
PO Box 510

Goodwood SA 5034

Contact details:
Fax: 08 8172 0258
Email: info@planet-wealth.com

What services are we authorised to provide to you?

Falconer Bellomo and Company Ltd has authorised Planet Wealth licensed to offer you the following services:

Provide general financial product advice only

Arrange for a person to deal in a financial product

Apply for, acquire, vary or dispose of financial products on behalf of another

How do we get remunerated?

PLANET WEALTH operates a subscription based and educational based business.

When subscribing you will be required to pay a subscription fee in advance, according to the period of time you join for. Our fees are as follows:

Gold Membership to any Trading Diary paid in advance:

Monthly recurring subscription = USD\$99 (USD\$108.90 including GST for Australian residents)

Yearly recurring subscription = USD\$990 (USD\$1089 including GST for Australian residents)

Gold +SMS Membership to any Trading Diary paid in advance:

Monthly recurring subscription = USD\$128 per month (US\$140.80 including GST for Australian residents)

Yearly recurring subscription = USD\$1280 (US\$1408 including GST for Australian residents)

Trading Room Membership paid in advance:

Monthly recurring subscription: USD\$399 (USD\$438.90 including GST for Australian residents)

6 Monthly recurring subscription: USD\$2,199 (USD\$2,418.90 including GST for Australian residents)

Yearly recurring subscription: USD\$3,999 (USD\$4,389 including GST for Australian residents)

When attending a Workshop or Seminar you may be required to pay any attendance fee upfront.

Do we receive remuneration or commission fees or other benefits when we refer you to another professional?

We are a dynamic Australian-owned organisation, the shareholders being employees or associates. This means that our company is not at the influence of banks, fund managers or insurance companies regarding recommendations.

We believe that the continued development of our business depends on this unwavering commitment to our members. We do receive commissions from some or all of our recommended brokers, including commissions for AutoTrader. However, our recommended brokers only remain IF they continue to provide the quality service we demand for our members.

Remember, Planet Wealth (and its Authorising licensee) always attempts to act on the best behalf of its members when recommending third parties, regardless of its remuneration.

Will we provide you with specific advice in relation to your needs?

NO. Planet Wealth provides general advice only, and does not take into account the investment objectives, financial situation and particular needs of the investor. Before making any decision about the information provided by Planet Wealth, you must consider the appropriateness of the information having regard to your objectives, financial situation, and needs, and always consult your advisor. Securities and Derivatives have inherent risks and can involve high risk investment.

We can however refer you to a specialist for personal advice should you request it.

What information do you maintain in my file?

We keep your name, address, phone numbers, email details and sometimes we may ask what markets you like to trade (for marketing purposes).

You can change or remove your details from our database upon request.

Problem Resolution or Complaints

Problem resolution is a priority for us. If you have any problems with the financial services that we provide, please contact us and we will endeavour to resolve it for you quickly and fairly. You should take the following steps to enable us to do so:

1. Contact our office and tell us about your complaint.
2. If your complaint is not satisfactorily resolved within 14 days, please contact our **Dispute Resolution Officer** in writing at:

Planet Wealth Pty Ltd, PO Box 510, Goodwood SA 5034

We will forward a copy to our licensee Falconer Bellomo and Company Ltd and attempt to resolve your complaint quickly and fairly within 45 business days of receipt.

3. If your complaint is not resolved within 45 business days and you remain dissatisfied you have the right to contact the Financial Ombudsman Service (FOS). Falconer Bellomo & Co Ltd is a member of FOS, which is an ASIC approved Disputes Resolution Scheme. You can contact FOS at:

Telephone: 1300 78 08 08

Facsimile: (03) 9613 6399

Website: www.fos.org.au

Email: info@fos.org.au

Mail: GPO Box 3, Melbourne, Victoria, 3001

Professional Indemnity Insurance:

Falconer Bellomo and Company Ltd has in place Professional Indemnity Insurance which satisfies the requirements of Section 912B of the Corporations Act. In very general terms, Section 912B requires Falconer Bellomo and Company Ltd to have arrangements for compensating a Retail Client for loss or damage suffered because of breaches by Falconer Bellomo and Company Ltd or our representatives/employees of obligations under Chapter 7 (Financial Services and Markets) of the Corporations Act. The Professional Indemnity Insurance will not cover claims in relation to the conduct of

representatives/employees who no longer work for Falconer Bellomo and Company Ltd but who did at the time of the relevant conduct.”

Compliance

Employees and associates of Planet Wealth Pty Ltd may hold positions in particular shares or option as posted on the website.

Shares and interests in securities held by directors or associates of Planet Wealth are disclosed in each report.

Our licensee's contact details

Falconer Bellomo & Co Ltd can be contacted at their Head Office:

Mr Peter Bellomo
Director

FALCONER BELLOMO & COMPANY LIMITED
SUITE 404 73 FLINDERS LANE
MELBOURNE VIC 3000

Planet Wealth Pty Ltd

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